



Since January 2020 Elsevier has created a COVID-19 resource centre with free information in English and Mandarin on the novel coronavirus COVID-19. The COVID-19 resource centre is hosted on Elsevier Connect, the company's public news and information website.

Elsevier hereby grants permission to make all its COVID-19-related research that is available on the COVID-19 resource centre - including this research content - immediately available in PubMed Central and other publicly funded repositories, such as the WHO COVID database with rights for unrestricted research re-use and analyses in any form or by any means with acknowledgement of the original source. These permissions are granted for free by Elsevier for as long as the COVID-19 resource centre remains active.



Contents lists available at ScienceDirect

Journal of Critical Care

journal homepage: [www.journals.elsevier.com/journal-of-critical-care](http://www.journals.elsevier.com/journal-of-critical-care)

# Critical care leadership during the COVID-19 pandemic

Margaret M. Hayes, MD, ATSF<sup>a,\*</sup>, Michael N. Cocchi, MD<sup>b</sup>

<sup>a</sup> Division of Pulmonary, Critical Care, and Sleep Medicine, Department of Medicine, Beth Israel Deaconess Medical Center, Harvard Medical School, Boston, MA, United States of America

<sup>b</sup> Department of Emergency Medicine, Department of Anesthesia, Division of Critical Care, Beth Israel Deaconess Medical Center, Harvard Medical School, United States of America

## ARTICLE INFO

### Article history:

Received 22 August 2021

Received in revised form 20 September 2021

Accepted 26 September 2021

Available online xxxx

### Keywords:

Critical care

Ethics

COVID-19

Leadership

Moral injury

## ABSTRACT

The COVID-19 pandemic taxed critical care and its leaders in unprecedented ways. Medical directors, nursing directors, division chiefs and department chairs were forced to lead their staff through a pandemic wrought with personal and professional safety concerns, uncertainty, and more death than most critical care practitioners had ever seen. No leader was fully prepared for the COVID-19 pandemic. Herein, we describe what we believe are the three most important qualities of a leader in times of crisis: presence, transparency, and empathy.

© 2021 Published by Elsevier Inc.

## 1. Introduction

The COVID-19 pandemic placed an enormous strain on critical care [1] and has taxed its leaders in unprecedented ways. Medical directors, nursing directors, division chiefs and department chairs in critical care were forced to lead their staff through a pandemic wrought with personal and professional safety concerns, uncertainty, moral injury and more death than most critical care practitioners had ever seen [2]. Trust is a crucial quality of a leader [3] but instilling trust during the COVID-19 was difficult due to constantly changing messages regarding testing, infectivity, personal protective equipment (PPE), and challenges with work life balance [4,5].

Despite the recognition of the need for physician leaders and the increase in physician leadership programs over the last decade [6–8], no leader was fully prepared for the COVID-19 pandemic. As physician leaders, we had leadership training, and our hospital had carefully designed disaster management plans, but it was impossible to anticipate the myriad ways in which the COVID-19 pandemic would wreak havoc on personal and professional lives. In those early days of the first surge, any personal fears about our own safety and the safety of our families had to be put aside in order to focus on the tasks at hand – most paramount, to ensure we created policies, procedures, and environments that were as safe as possible so that our teams could compassionately and effectively care for more patients than they were used to, under circumstances they had never encountered. Physician leaders

have a duty to both patients and staff, and while we were figuring out how to ensure there were ventilators for our patients, we also had to ensure that our staff were safe and cared for so they could do their jobs well. Herein, we focus on the staff and describe what we believe are the three most important qualities of a leader in times of crisis: presence, transparency, and empathy.

### 1.1. Presence

We believe that the most important quality of a leader in times of crisis is presence. For us, presence did not just mean being in the moment [9], which is an important quality, but it meant physical presence, the proverbial “boots on the ground,” presence. As leaders during the pandemic, days were filled with meetings, urgent calls, and never-ending emergencies. We felt that it was essential that we were visible and accessible in order to routinely check in with all staff – nurses, respiratory therapists, unit coordinators, environmental service workers, residents, fellows, and attendings. We rounded in the mornings and evenings, ensuring that PPE, especially contact precaution gowns were available in the units. We frequently walked through the intensive care units and surge units with candy and coffee to check on staff and made a point to do this at change of shift so we could have face time with both the day and night staff. We handed out meals, we helped clean break rooms, we made coffee for staff. During these informal and impromptu check-ins we heard about challenges and problems that we could fix, but more importantly, we providing a listening ear for staff to unburden themselves about their fears, their challenges at work, and their challenges at home. During these times we heard about and also experienced ourselves the moral injuries that staff

\* Corresponding author at: Beth Israel Deaconess Medical Center, 300 Brookline Ave, Boston, MA 02215, United States of America.

E-mail address: [Mhayes7@bidmc.harvard.edu](mailto:Mhayes7@bidmc.harvard.edu) (M.M. Hayes).

were facing. Moral injury occurs when staff must participate in or witness events that oppose their moral beliefs or moral expectations [10,11]. Staff were distraught over the policies that barred visitors during the early days of the pandemic and many felt forced to provide potentially inappropriate care [12] as they thought it took a long time for families to accept that patients were not getting better. As leaders, we couldn't always fix what they brought forward, but actively listening and being present in the moment was crucial. Often just as important. Acknowledging the moral injury and listening was necessary [13]. Listening is not only powerful but can be healing [14]. Being present to help care for patients, help experience the loss, and celebrate the wins was so important [15].

We ensured that despite our busy administrative schedules, we worked clinically alongside our peers. We never asked anyone to do anything that we would not do ourselves, and early on we insisted that we were the ones doing the highest risk procedures, such as bronchoscopies. In addition to clinical time, we created safe spaces where we made ourselves available for impromptu conversations about difficult cases, or challenges at home, or just acting as a support while staff cried. These spaces turned into physical and emotional spaces of consolation, healing, and celebration. Towards the end of the first surge, we created spaces of celebration after work where people could gather safely, share a meal and drink together, and talk, laugh, and cry.

### 1.2. Transparency

Transparency demands accountability and openness [16]. As leaders we have a duty to be honest with those we lead. During the early days of the pandemic there was appropriate ambiguity and uncertainty due to the novel nature of the infectious agent, which led to anxiety and at times confusion, but we embraced the uncertainty and were totally transparent about it. As doctors, we are used to dealing with uncertainty in clinical encounters, so we embraced this too when dealing with our staff. We helped them to not only tolerate uncertainty but to embrace it [17]. Our PPE guidance changed frequently as the medical community learned more about the virus and its transmissibility. Additionally, our treatments changed as we learned how best to combat COVID-19. It was difficult at times to not know the answers to everything we were asked, or to be the ones who were constantly changing the policies, and the plans. We assured those whom we led that we would always be honest and transparent. Our transparency was the constant during ever-changing national guidelines, hospital policies, recommended treatments, and PPE requirements.

### 1.3. Empathy

Empathy was described by the late 19th century psychologist Theodore Lipps as “feeling one's way into the experience of another” [18]. The importance of empathy cannot be overstated, and an empathic leader can inspire and empower those he or she leads [19]. During the pandemic, critical care physicians, nurses, and other clinical staff were forced to manage the physical stress of long hours, greater numbers of patients, and delivering care in clunky and uncomfortable PPE. Staff were also required to manage moral injury and significant emotional stress as well, such as concerns about lack of adequate PPE, lack of key medications, and increasing numbers of end of life conversations and situations [2,20]. There were high rates of psychological distress [21] and fear, anxiety, and worry throughout the pandemic. It has been shown that empathy can counteract feelings of worry and fear [5].

Empathy, a teachable skill, is considered a core skill in medicine [22]. As physicians, we strive to show empathy to our patients and their families, but it is just as important to have empathy for those we lead. Empathy has been described as “an accurate understanding of the experience of the sufferer [22,23]” and because we were present with them and going through this pandemic beside them, we had that shared understanding. Our presence on the front lines shoulder to shoulder

with those we led helped us to understand their suffering. Although every personal situation – home schooling, sick parents, jobless partners – was unique, we were able to identify with the personal challenges and clearly understand the professional challenges as we too were living them. We showed our empathy through our presence and availability and through our transparency. We had a “door is always open” policy and additionally created spaces for our teams to voice their concerns and be heard. We had frequent virtual town halls and dedicated faculty and staff meetings. We sent nightly emails to highlight the shared experiences we all had, thanking people for their work, and telling them we understand what they were going through.

## 2. Conclusion

Although the first and second surges have come and gone, the pandemic is far from over. In many ways these subsequent surges are more difficult. We as leaders are tired, our workers are tired, and we are faced with societal challenges with vaccines and masks, threat of new variants, continued supply chain shortages, and new workforce shortages. We know these difficulties will likely worsen before they abate. Continuing to lead anchored by the three key principles of presence, transparency, and empathy will ensure that we emerge from this prolonged crisis with the best possible outcomes for both our patients and our teams.

### Funding

We have no financial disclosures.

### Declaration of Competing Interest

We have no conflicts of interest.

### References

- [1] Rubinson L. Intensive care unit strain and mortality risk among critically ill patients with COVID-19—There Is No “Me” in COVID. *JAMA Netw Open*. 2021;4(1). <https://doi.org/10.1001/jamanetworkopen.2020.35041>.
- [2] Williamson V, Murphy D, Greenberg N. COVID-19 and experiences of moral injury in front-line key workers. *Occup Med*. 2020;70(5). <https://doi.org/10.1093/occmed/kqaa052>.
- [3] Everett JAC, Colombatto C, Awad E, et al. Moral dilemmas and trust in leaders during a global health crisis. *Nat Hum Behav*. 2021. <https://doi.org/10.1038/s41562-021-01156-y> Published online July 1.
- [4] Daphna-Tekoa S, Megadasi Brikman T, Scheier E, Balla U, et al. *Int J Environ Res Public Health*. 2020;17(17). <https://doi.org/10.3390/ijerph17176413>.
- [5] Siddiqui S, Hayes MM, Sullivan AM, Lisbon A, Sarge T. Compassion and humanism in the ICU - a clinical study. *ICU Manag Pract*. 2021;2:94–105.
- [6] Hopkins J, Fassiotto M, Ku MC, Mammo D, Valantine H. Designing a physician leadership development program based on effective models of physician education. *Health Care Manage Rev*. 2018;43(4). <https://doi.org/10.1097/HMR.0000000000000146>.
- [7] Gewertz BL, Logan DC. Leadership as personal capital. *The Best Medicine*. New York: Springer; 2015. [https://doi.org/10.1007/978-1-4939-2220-8\\_1](https://doi.org/10.1007/978-1-4939-2220-8_1).
- [8] <https://hbr.org/2018/10/why-doctors-need-leadership-training>.
- [9] <https://journeytoleadershipblog.com/2018/10/15/importance-being-present-leadership/>.
- [10] Litz BT, Stein N, Delaney E, et al. Moral injury and moral repair in war veterans: a preliminary model and intervention strategy. *Clin Psychol Rev*. 2009;29(8). <https://doi.org/10.1016/j.cpr.2009.07.003>.
- [11] Cartolovni A, Stolt M, Scott PA, Suhonen R. Moral injury in healthcare professionals: A scoping review and discussion. *Nurs Ethics*. 2021;28(5). <https://doi.org/10.1177/0969733020966776>.
- [12] Bosslet GT, Pope TM, Rubenfeld GD, et al. An official ATS/AACN/ACCP/ESICM/SCCM policy statement: responding to requests for potentially inappropriate treatments in intensive care units. *Am J Respir Crit Care Med*. 2015;191(11). <https://doi.org/10.1164/rccm.201505-0924ST>.
- [13] Shale S. Moral injury and the COVID-19 pandemic: reframing what it is, who it affects and how care leaders can manage it. *BMJ Leader*. 2020;4(4). <https://doi.org/10.1136/leader-2020-000295>.
- [14] Sweat MT. Is just listening really giving spiritual care? *J Christ Nurs*. 2010;27(1). <https://doi.org/10.1097/01.CNJ.0000365980.64647.34>.
- [15] <https://www.thenationalnews.com/business/a-leader-s-physical-presence-will-make-the-difference-1.94159>.
- [16] Ball C. What is transparency? *Public Integr*. 2009;11(4). <https://doi.org/10.2753/PIN1099-9922110400>.

- [17] Simpkin AL, Schwartzstein RM. Tolerating uncertainty — the next medical revolution? *N Engl J Med*. 2016;375(18). <https://doi.org/10.1056/NEJMp1606402>.
- [18] Riess H. The science of empathy. *J Patient Exp*. 2017;4(2). <https://doi.org/10.1177/2374373517699267>.
- [19] Kock N, Mayfield M, Mayfield J, Sexton S, de La Garza LM. Empathetic leadership: how leader emotional support and understanding influences follower performance. *J Leadership Organ Stud*. 2019;26(2). <https://doi.org/10.1177/1548051818806290>.
- [20] Stocchetti N, Segre G, Zanier ER, et al. Burnout in intensive care unit workers during the second wave of the COVID-19 pandemic: a single center cross-sectional Italian study. *Int J Environ Res Public Health*. 2021;18(11). <https://doi.org/10.3390/ijerph18116102>.
- [21] Troglio da Silva FC, MLR Neto. Psychiatric disorders in health professionals during the COVID-19 pandemic: a systematic review with meta-analysis. *J Psychiatr Res*. 2021;140. <https://doi.org/10.1016/j.jpsychires.2021.03.044>.
- [22] Buckman R, Tulskey JA, Rodin G. Empathic responses in clinical practice: intuition or tuition? *Can Med Assoc J*. 2011;183(5). <https://doi.org/10.1503/cmaj.090113>.
- [23] Neumann M, Bensing J, Mercer S, Ernstmann N, Ommen O, Pfaff H. Analyzing the “nature” and “specific effectiveness” of clinical empathy: a theoretical overview and contribution towards a theory-based research agenda. *Patient Educ Couns*. 2009;74(3). <https://doi.org/10.1016/j.pec.2008.11.013>.